

RI-0814

M. Com. (Sem. IX) (Integrated) Examination March / April - 2017

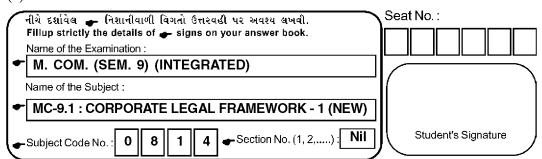
MC-9.1 : Corporate Legal Framework - I

(New Course)

Time: 2 Hours] [Total Marks: 50

Instructions:

(1)



- (2) Figures to the right indicate full marks of a question.
- (3) Precise and to the point answers are expected.
- 1 Answer in short:

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- (1) Define beneficiary participants.
- (2) What is penalty for failure to reconcile records of dematerialized securities with all the securities issued by the issuer ?
- (3) List out the some examples of malpractices in the securities market.
- (4) What are tie-in-agreements?
- (5) What is the full form of SEBI?
- 2 Explain the objectives and organization of SEBI.

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- 3 (a) Explain the powers and function of the Competition6 Commission of India.
 - (b) Discuss the rights and obligations of depository,7participants, beneficial owner and issuer.
- 4 Write short notes: (any three) 15
 - (1) Primary and secondary market.
 - (2) Prohibition of insider tading.
 - (3) Anti-competitive agreements
 - (4) Contavention and penalty under depositories act, 1996.
